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ATTORNEY DOCKET NO: 67736

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : HELFENSTEIN et al.
Serial No : 09/630,625
Filed : August 1, 2000
For : DETERMINATION...
Art Unit :
Examiner :
Dated : August 28, 2000

Hon. Commissioner of Patents
and Trademarks

Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Attached please find Form PTO-1449 together with the references for the above-identified application that include, with others, references as stated in the specification and cited by the German Patent Office.

- U.S. 5,458,062 which corresponds to DE 195 06 774 as discussed on page 4 of the specification.

- U.S. 5,123,316 which corresponds to DE 39 35 615 cited by the German Patent Office.

- DE 36 02 894 C2 is a German Patent Office Publication as having a filing date of January 31, 1986 and a publication date of August 6, 1987. The inventor is Rainer Burger. This reference has been cited in a corresponding Foreign Application as being only significant with regard to technical background. This reference describes an apparatus for the individual control of the cutting register during operation of the individual webs printed in a printing machine, a comparison of the angular position between the cutting cylinder arranged in the folder and a

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cylinder which print the respective web of printing carrier is undertaken in each case. In the case of deviations of the phase position, the cutting register of the respective web is readjusted by displacing or pivoting the respective paper guide roller. No translation of this reference is available to Applicant at this time.

Respectfully submitted
for Applicant,

By: _____
Keith D. Moore
Registration No. 44,951
McGLEW AND TUTTLE, P.C.

KDM:tf

67736.6

Enclosed: PTO-1449 Form
copies of (3) References

DATED: August 28, 2000
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SHOULD ANY OTHER FEE BE REQUIRED, THE PATENT AND TRADEMARK OFFICE IS HEREBY REQUESTED TO CHARGE SUCH FEE TO OUR DEPOSIT ACCOUNT 13-0410.

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS EXPRESS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231, NO.: EL597140673US

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BY: _____ DATE: August 28, 2000
Form PTO-1449 U.S. Department of Commerce Sheet 1 of 1
Patent and Trademark Office

LIST OF REFERENCES CITED

Atty Docket No.: 67736

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BY APPLICANT
(Use several sheets if necessary)
al.

Ser. No.: 09/630,625
Applicant: HELFENSTEIN et

Filing Date: August 1, 2000
Group:

U.S. PATENT DOCUMENTS

| Ex- aminer Filing Date Initial | Document No. | Date | Name | Sub- Class | class |
|---|--------------|------|------|---------------|-------|
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|--|------------------|----------------------|---------------------------|--|----------------------|
| | <u>5,458,062</u> | <u>Oct. 17, 1995</u> | <u>Goldberg et al.</u> | | <u>Feb. 28, 1994</u> |
| | <u>5,123,316</u> | <u>June 23, 1992</u> | <u>Niedermaier et al.</u> | | <u>Oct. 11, 1990</u> |
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FOREIGN PATENT DOCUMENTS

| Ex- aminer Translation Initial | Document No. | Date | Country | Class | Sub- class | Yes/No |
|---|--------------|------|---------|-------|---------------|--------|
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|--|------------------------|---------------------|----------------|--|--|-----------|
| | <u>DE 36 02 894 C2</u> | <u>Aug. 6, 1987</u> | <u>Germany</u> | | | <u>No</u> |
| | | | | | | |

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

| Ex- aminer Translation Initial | Author | Date | Title | Textbook In | Yes/No |
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Examiner

Date Considered